## **ORIGINAL**

Application Based on

Docket 84114AEK

Inventors: Charles C. Anderson, Ronald S. Cok and Rodney D. Feldman

Customer No. 01333

# MULTILAYER WITH RADIATION ABSORBER AND TOUCH SCREEN

Commissioner for Patents, ATTN: BOX PATENT APPLICATION Washington, D. C. 20231

Express Mail Label No.: EL809163586US

Date: <u>Lebruary 15, 2002</u>

## MULTILAYER WITH RADIATION ABSORBER AND TOUCH SCREEN

#### FIELD OF THE INVENTION

This invention relates to a multilayer containing an intrinsically conductive polymer layer containing a radiation absorber and a second conductive layer useful in touch screens.

## **BACKGROUND OF THE INVENTION**

10

15

20

5

Touch screens are widely used in conventional CRTs and in flatpanel display devices in computers and in particular with portable computers.

Fig. 1 shows a multilayer 10 for a typical prior art resistive-type touch screen including a transparent substrate 12, having a first conductive layer 14. A flexible transparent cover sheet 16 includes a second conductive layer 18 that is physically separated from the first conductive layer 14 by spacer elements 20. A voltage is developed across the conductive layers. The conductive layers 14 and 18 have a resistance selected to optimize power usage and position sensing accuracy. Deformation of the flexible cover sheet 16 by an external object such as a finger or stylus causes the second conductive layer 18 to make electrical contact with first conductive layer 14, thereby transferring a voltage between the conductive layers. The magnitude of this voltage is measured through connectors (not shown) connected to metal conductive patterns (not shown) formed on the edges of conductive layers 18 and 14 to locate the position of the deforming object.

25

30

It is known from US 5,354,613 to employ antistatic coatings containing conductive polythiophene polymeric materials on resin or paper and that such materials may contain matting agents for anti-blocking purposes. It is also known from EP 1,079,397 to use conductive polythiophene polymeric materials to provide an electroconductive pattern on a support and that such materials may contain spacers, UV filters or IR absorbers. It is further known from US 5,674,654 and US 5,665,498 to use conductive polypyrrole polymeric

10

15

20

25

30

materials that may contain matting agents as combination antistat/protective layers for imaging elements.

The conventional construction of a resistive touch screen involves the sequential placement of materials upon the substrate. The substrate 12 and cover sheet 16 are first cleaned, then uniform conductive layers are applied to the substrate and cover sheet. It is known to use a coatable intrinsically conductive polymer such as polythiophene or polyaniline to provide the flexible conductive layers. See for example WO 00/39835, which shows a light transmissive substrate having a light transmissive conductive polymer coating, and US Patent No 5,738,934 which shows a cover sheet having a conductive polymer coating. The spacer elements 20 are then applied and, finally, the flexible cover sheet 16 is attached.

The deposition of spacer elements between the substrate and flexible cover sheet can be accomplished in several ways, for example by spraying through a mask or pneumatically sputtering small diameter transparent glass or polymer particles, as described in U.S. Patent 5,062,198. The transparent glass or polymer particles are typically 45 microns in diameter or less and mixed with a transparent polymer adhesive in a volatile solvent before application. This process is relatively complex and expensive and the use of an additional material such as an adhesive can be expected to diminish image quality.

Some displays, such as those composed of organic light emitting diodes (OLEDs) are sensitive to ultra-violet light. Other displays may require selective filtration of incident or emitted light in order to provide improved imaging quality.

In general, any light with a wavelength less than 400 nm is capable of degrading the organic light emitting diode materials, reducing the lifetime of the display. To reduce the effects of this problem, oxygen may be excluded from OLED devices to the extent possible. UV filters may also reduce the radiation incident on the organic materials in OLED displays and hence the incidence of photo-oxidation. However, the addition of an additional UV filtering layer is undesirable because the addition of another layer tends to degrade image quality and increase costs and manufacturing steps.

10

20

25

30

Materials used to construct both displays and touch screens may filter light passing through them so that a perceptible color is imparted to the light. For example, when a flat-panel display is viewed through a touch screen, the colors of the flat-panel display may be altered so that the colors are less accurate or the color gamut is reduced. It is generally preferable, if the materials are not perfectly transparent, that they combine to provide a neutral density. Alternatively, under known, relatively invariant light conditions, it may be preferable to induce a particular overall color to light emitted by a display with the use of suitable filters.

It is a problem to be solved to provide a touch screen that provides an actinic radiation absorbing capability without the addition of a separate layer, so as to improve the life-time or image quality and color features of the display

#### SUMMARY OF THE INVENTION

The invention provides a transparent multilayer comprising (a) a first conductive layer containing an intrinsically conductive polymer and a film forming binder, (b) a second conductive layer, and (c) spacer elements separating the first and second conductive layers, the first conductive layer further comprising an actinic radiation absorbing compound. The invention also provides

a display incorporating the multilayer.

The invention provides an improved multilayer for a touch screen that provides an actinic radiation absorbing capability without the addition of a separate layer, so as to improve the life-time or image quality and color features of the display.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a schematic diagram showing a section of a touch screen multilayer of the prior art.

Fig. 2 is a schematic diagram showing a section of a multilayer of the invention.

Fig. 3 is a schematic diagram showing a section of an arrangement of a multilayer of the invention on an OLED device.

10

15

20

25

30

Fig. 4 is a schematic diagram showing a section of a second arrangement of a multilayer of the invention on an OLED device.

### DETAILED DESCRIPTION OF THE INVENTION

Referring to Fig 2, in one embodiment, a multilayer is shown for a resistive touch screen 10 of the type including a transparent substrate 12 bearing a first conductive layer 30, a flexible transparent cover sheet 16 having a second conductive layer 18 and spacer elements 32 located between the substrate 12 and the cover sheet 16.

As used herein, the term "intrinsically conducting polymer" is defined as in U.S. Patent 5,738,934. The term "not water-wettable" means that the surface is not readily wetted by an aqueous medium. The term "microsphere" means particles or beads that are substantially spherical in shape. The term "transparent" includes partial transparency.

This method of the invention provides a liquid coating medium containing a intrinsically conductive polymer, a film forming binder, and an actinic radiation absorbing compound and coating the liquid coating medium on the substrate 14 or the cover sheet 16. The actinic radiation absorbing compound may include, for example, compounds useful for UV absorption, to achieve neutral density or color adjustment, or as notch filters. Thus, the film of the invention not only provides the necessary conductive network, but also provides absorption of any desired type of actinic radiation entering and/or leaving the multilayer.

Depending on the coating used and effects desired, different combinations of these addenda may be employed. The conductive polymers and film forming binders with addenda can be coated onto supports in a variety of ways, including spin coating, web coating, and spray coating. The conductive polymers and film forming binders are coated out of an aqueous or organic solvent solution, for environmental reasons it is preferable to employ aqueous solutions. Suitable coatings of conductive polymers such as polythiophene are about 0.5 to 5 microns thick when dried. Microspheres, meaning substantially spherical beads, are suitable spacer elements for providing separation between the

20

25

30

conductive layers. For the purposes herein, the microspheres have a diameter of greater than 10 micrometers and typically at least 20 micrometers. Microspheres used for traditional Matte applications are typically less than 10 micrometers in diameter and often 1-2 micrometer in size. Further, layers for matte purposes are often soluble during a subsequent processing step. The microspheres used in the present invention are desirably non-wettable in order to reduce the extent of shorting across the microspheres.

The conductive polymers can be chosen from any or a combination of known intrinsically conductive polymers, as described in U.S.

Patent 5,738,934, such as substituted or unsubstituted pyrrole-containing polymers (as mentioned for example, in U.S. Patents 5,665,498 and 5,674,654), substituted or unsubstituted thiophene-containing polymers (as mentioned for example, in U.S. Patents 5,300,575, 5,312,681, 5,354,613, 5,370,981, 5,372,924, 5,391,472, 5,403,467, 5,443,944, 5,575,898, 4,987,042, and 4,731,408), and substituted or unsubstituted aniline-containing polymers (as mentioned for example, in U.S. Patents 5,716,550, 5,093,439, and 4,070,189).

The intrinsically conductive polymer (ICP) provides the necessary

electrical conductivity, while the non-conductive binder provides the desirable mechanical properties. Such blends are available from Al-Coat, of Ness-Ziona, Israel, in the form of apolyaniline-based spin-coatable solution. Disclosures of other suitable blends include Heeger et al., U.S. Pat. No. 5,246,627 (1993); Cao et al., U.S. Pat. No. 5,232,631 (1993); the Regents of University of California, published international application WO 91/11325 (1991); Andreatta et al., "Processing Of Conductive Polyaniline-UHMW Polyethylene Blends FromSolutions In Non-Polar Solvents," Synth. Met. 55, pp. 1017-22 (1993); Laakso et al., "Synthesis And Characterization Of Conducting Polymer Blends Of Poly(3-Alkylthiophenes)," Synth. Met. 37, pp. 145-50 (1990); and "Neste Conducting Polymers" (brochure), by Neste-Uniax New Technology Development (undated). ICP's are organic polymers which have an extensive, highly conjugated pi.-electron network in the backbone and which may be doped to impart orenhance the conductive properties. See, for example, MacDiarmid et

10

15

20

25

30

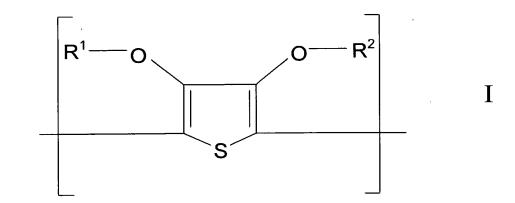
al., Front. Polym. Res. [Proc. Int. Conf.], 1st, pp. 259-70 (1991) (Plenum, New York).

Exemplary suitable ICP's include polypyrrole, polythiophene, polyacetylene, poly(phenylene vinylene), poly(phenylene sulfide),poly(pphenylene), polyaniline, and poly(heterocycle vinylene). A reference herein to an ICP is understood to subsume the substituted variants thereof. For example "polypyrrole" subsumes poly(alkoxy pyrrole) and poly(alkyl pyrrole). The nonconductive polymer's primary purpose is to provide a matrix for imparting the desired mechanical properties of the conductive polymeric material, as ICP's themselves often are fragile and/or intractable materials. Suitable non-conductive polymers include polyolefins (e.g., polyethylene and polypropylene), polystyrene, poly(vinyl chloride), acrylonitrile-butadiene-styrene copolymer (ABS), aliphatic polyamide(e.g., nylon), aromatic polyamide (e.g., poly(pphenyleneterephthalamide)), acrylic polymers, methacrylate polymers (e. g., poly(methyl methacrylate)), polycarbonate, polysiloxane, polybutadiene, ethylene-vinyl acetate copolymer, elastomers (e.g. EPDM rubber), and fluoropolymers (e.g., polytetrafluoroethylene). A wide range in the ratio of ICP to non-conductive polymer is permissible, depending on the desired balance of electrical and mechanical properties. Preferably, the weight ratio of ICP to nonconductive polymer is between 1 to 99 and 99 to 1, with a ratio between 9 to 1 and 1 to 9 being typical.

The conductive layer of the invention should contain about 10 to about 1000 mg/m² dry coating weight of the conductive polymer. Preferably, the conductive layer should contain about 20 to about 500 mg/m² dry coating weight of the conductive polymer. The actual dry coating weight of the conductive polymer applied is determined by the properties for the particular conductive polymer employed and by the requirements for the particular application, the requirements may include, for example, the conductivity, transparency, optical density, cost, etc for the layer.

In one embodiment, the layer containing the conductive polymer is prepared by applying a mixture containing:

## (a) a polythiophene with formula I



10

15

20 -

25

30

5

wherein each of R<sup>1'</sup> and R<sup>2'</sup> independently represents hydrogen or a C1-4 alkyl group or together represent an optionally substituted C1-4 alkylene group or a cycloalkylene group, preferably an ethylene group, an optionally alkylsubstituted methylene group, an optionally C1-12 alkyl- or phenyl-substituted 1,2-ethylene group, a 1,3-propylene group or a 1,2-cyclohexylene group, and

## (b) a polyanion compound

Polyanions used with these conductive polymers include the anions of polymeric carboxylic acids such as polyacrylic acids, poly(methacrylic acid), and poly(maleic acid), and polymeric sulfonic acids such as polystyrenesulfonic acids and polyvinylsulfonic acids, the polymeric sulfonic acids being preferred for use in this invention. These polycarboxylic and polysulfonic acids may also be copolymers formed from vinylcarboxylic and vinylsulfonic acid monomers copolymerized with other polymerizable monomers such as the esters of acrylic acid and styrene. The molecular weight of the polyacids providing the polyanions preferably is 1,000 to 2,000,000 and more preferably 2,000 to 500,000. The polyacids or their alkali salts are commonly available, for example as polystyrenesulfonic acids and polyacrylic acids, or they may be produced using known methods. Instead of the free acids required for the formation of the electrically conducting polymers and polyanions, mixtures of alkali salts of polyacids and appropriate amounts of monoacids may also be used.

In one desirable embodiment, the polythiophene and polyanion are applied in the presence of a conductivity enhancing agent as described in U.S. Patents 5,766,515, 6,083,635, 6,197,418, and European Patent Application EP 1 003 179 A. Such conductivity enhancing compounds include organic compounds containing dihydroxy or poly-hydroxy and/or carboxyl groups or amide groups or lactam groups. Suitable organic compounds containing dihydroxy or polyhydroxy and/or carboxyl groups or amide groups correspond to formula (II)

$$(OH)_n$$
-R- $(COX)_m$  (II)

10

15

5

wherein n and m are independent of one another and denote an integer from 1 to 20, preferably from 2 to 8 and R denotes a linear, branched or cyclic alkylene radical having 2 to 20 C atoms or an optionally substituted arylene radical having 6 to 14 C atoms or a heterocyclic radical having 4 to 10 C atoms or a sugar radical or sugar alcohol radical and x denotes --OH or --NYZ, wherein Y, Z independently of one another represent hydrogen or alkyl, preferably hydrogen or C<sub>1</sub> to C<sub>12</sub>-alkyl. Examples of suitable organic compounds containing lactam groups are N-methylpyrrolidone, pyrrolidone, caprolactam, N-methylcaprolactam, N-octylpyrrolidone.

20

Particularly preferred conductivity enhancers are: sugar and sugar derivatives such as sucrose, glucose, fructose, lactose; sugar alcohols such as sorbitol, mannitol; furan derivatives such as 2-furancarboxylic acid, 3-furancarboxylic acid; alcohols such as ethylene glycol, glycerol, di- or triethylene glycol.

25

A film-forming binder is employed to improve the physical properties of the conductive layer. The layer may contain from about 5 to 95% of the film-forming binder. The optimum weight percent of the film-forming binder varies depending on the electrical properties of the conductive polymer, the chemical composition of the binder, and the requirements for the particular touch-screen application.

30

Film-forming binders useful in the conductive layer of this invention can include, but are not limited to, water-soluble or water-dispersible

10

15

20

25

30

hydrophilic polymers such as gelatin, gelatin derivatives, maleic acid or maleic anhydride copolymers, cellulose derivatives (such as carboxymethyl cellulose, hydroxyethyl cellulose, cellulose acetate butyrate, diacetyl cellulose, and triacetyl cellulose), polyvinyl alcohol, and poly-N-vinylpyrrolidone. Other suitable binders include organic solvent soluble or aqueous emulsions of addition-type homopolymers and copolymers prepared from ethylenically unsaturated monomers such as acrylates including acrylic acid, methacrylates including methacrylic acid, acrylamides and methacrylamides, itaconic acid and its halfesters and diesters, styrenes including substituted styrenes, acrylonitrile and methacrylonitrile, vinyl acetates, vinyl ethers, vinyl and vinylidene halides, and olefins; and solvent soluble or aqueous dispersions of polyurethanes or polyesterionomers; or a polysiloxane. To obtain superior physical properties for the layer it is desirable to crosslink the film-forming binder by the addition of a crosslinking agent or by radiation curing. Conventional polymeric matte microspheres may be prepared from various ethylenically unsaturated monomers such as styrene and its derivatives, acrylates and methacrylates and used as spacer elements. The polymeric beads may be prepared by suspension polymerization techniques that are well known in the art. The composition and preparation of polymeric matte beads suitable for use in the present invention are disclosed in Research Disclosure, Sept 1996, page 615. These spherical elements are well known in a variety of applications in the current art. Other suitable polymeric microspheres include those prepared from polyethylene or polytetrafluoroethylene. Such polymeric microspheres are commercially available from Daniel Products, for example. When mixed into the materials, the additives are coated at the same time as the material and dispersed uniformly with the material on the substrate. Both web coating and spin coating techniques have been demonstrated to disperse both conductive polymers and additives suitably.

When it is desired to disperse spacer elements with a resistive layer, the separating particles are first dispersed within the coated material and then the material is coated onto either the substrate of the resistive touch screen or the flexible top layer. This process incorporates into a single step the support coating and application of the spacer elements, thereby improving the dispersion

10

15

20

25

30

of the spacer elements and decreasing the cost of manufacturing. The two components, substrate and flexible film, are then combined as is known in the art.

When used with colorants, the process is as follows. The color attributes of the flat-panel device and the touch screen are first evaluated. A colorant material is selected to provide the preferred color seen by a viewer of the display when viewed through the flat-panel. In particular, the use of a conductive polymeric material within a suitable matrix with the necessary properties may not be completely transparent or neutral in color. The colorant may be chosen to balance the overall color to a neutral gray or to accentuate a particular color as desired for a specific application or to modify the gamut of the display, for example with notch filters. Suitable colorants such as anionic dyes are known in the art and compatible with conductive polymers. Once the colorant is selected, it is added to the liquid coating medium and the medium is coated on the support, either the substrate or the flexible film. The touch screen is then constructed conventionally.

absorber to reduce photochemical reactions may be added to the layer. A wide variety of UV absorbers are available commercially. To avoid color effects it is most preferable to employ an absorber that has little or no absorption above 380 nm. Examples of suitable UV absorbers include substituted 2-hydroxybenzophenones, hydroxybenzotriazoles, and hydrophenyltriazines. A wide variety of UV absorbers are disclosed in Research Disclosure, Sept 1996, page 611. Ultra-violet protection may be included in addition to, or independently of, any colorants. After addition to the liquid coating medium, the support is coated, either the substrate or the flexible film, and the touch screen constructed conventionally.

In a further embodiment of the present invention, both the substrate and the flexible film are coated with a resistive material including a combination of additives. For example, the material coated on the substrate might include spacer elements while the material coated on the flexible film might include color modification or ultra-violet protection.

10

15

20

25

30

The integration of a touch screen and a flat-panel display can be further enhanced by using either the cover or the substrate of the flat-panel device as the substrate of the touch screen. The cover can be transparent glass (in which case the display can emit light through it) or metal (in which case the cover acts as an encapsulator and light is emitted through the substrate). The flat-panel display is first manufactured conventionally. This is typically done in large sheets with multiple devices on each sheet. If the touch screen substrate is to act as the flat-panel display substrate, the flat-panel device is completed, the exterior side of the substrate is then cleaned and coated as described above and the remainder of the touch screen constructed.

Referring to Fig 3, an OLED display including a substrate 12, OLED materials 40 and encapsulator for OLED 42 is provided. The touch screen is formed on the substrate 12 by providing the first conductive layer 30, spacer element 32, second conductive layer 18, and cover sheet 16, as described above. If the touch screen substrate is to act as the flat-panel display cover, the flat-panel display is first constructed but the cover is not affixed. The touch screen is constructed as described above according to an embodiment of the present invention. The touch screen is then affixed as the cover of the flat-panel display to complete the integrated interactive display device.

Referring to Fig 4, an OLED device including an OLED substrate 50, OLED materials 40, and transparent cover 44 are provided. The touch screen multilayer is constructed on the transparent OLED cover 44 by providing first conductive layer 30, spacer elements 32, second conductive layer 18, and cover sheet 16, as described above.

The light emitting elements of the addressable pixels of the present invention can be Organic Light Emitting Diodes (OLEDs) including polymeric and small molecule polymeric OLEDs as disclosed in but not limited to US 4,769,292, issued September 6, 1988 to Tang et al.; and US 5,061,569 issued October 29, 1991 to VanSlyke et al. Many combinations and variations of OLED materials would be apparent to those knowledgeable in the art and can be used to fabricate such a device and are included in this invention.

10

15

20

25

#### OLED General device architecture

The present invention is conveniently employed in most OLED device configurations. These include very simple structures comprising a single anode and cathode to more complex devices, such as passive matrix displays comprised of orthogonal arrays of anodes and cathodes to form pixels, and active-matrix displays where each pixel is controlled independently, for example, with a thin film transistor (TFT).

There are numerous configurations of the organic layers wherein the present invention can be successfully practiced. Essential requirements are a cathode, an anode, an electroluminescent (EL) element there between containing a light-emitting layer (LEL). A more typical structure contains a substrate, an anode, an optional hole-injecting layer, a hole-transporting layer, a light-emitting layer, an electron-transporting layer, and a cathode. These layers are described in detail below. Note that the substrate may alternatively be located adjacent to the cathode, or the substrate may actually constitute the anode or cathode. Also, the total combined thickness of the organic layers is preferably less than 500 nm.

## Substrate

The substrate can either be light transmissive or opaque, depending on the intended direction of light emission. The light transmissive property is desirable for viewing the EL emission through the substrate. Transparent glass or an organic material is commonly employed in such cases. For applications where the EL emission is viewed through the top electrode, the transmissive characteristic of the bottom support is immaterial, and therefore can be light transmissive, light absorbing or light reflective. Substrates for use in this case include, but are not limited to, glass, plastic, semiconductor materials, ceramics, and circuit board materials. Of course it is necessary to provide in these device configurations a light-transparent top electrode.

#### 30 Anode

The conductive anode layer is commonly formed over the substrate and, when EL emission is viewed through the anode, should be transparent or

10

15

20

25

30

substantially transparent to the emission of interest. Common transparent anode materials used in this invention are indium-tin oxide (ITO) and tin oxide, but other metal oxides can work including, but not limited to, aluminum- or indium-doped zinc oxide (IZO), magnesium-indium oxide, and nickel-tungsten oxide. In addition to these oxides, metal nitrides, such as gallium nitride, and metal selenides, such as zinc selenide, and metal sulfides, such as zinc sulfide, can be used in layer. For applications where EL emission is viewed through the top electrode, the transmissive characteristics of layer are immaterial and any conductive material can be used, transparent, opaque or reflective. Example conductors for this application include, but are not limited to, gold, iridium, molybdenum, palladium, and platinum. Typical anode materials, transmissive or otherwise, have a work function of 4.1 eV or greater. Desired anode materials are commonly deposited by any suitable means such as evaporation, sputtering, chemical vapor deposition, or electrochemical means. Anodes can be patterned using well-known photolithographic processes.

## Hole-Injecting Layer (HIL)

While not always necessary, it is often useful that a hole-injecting layer be provided between anode and hole-transporting layer. The hole-injecting material can serve to improve the film formation property of subsequent organic layers and to facilitate injection of holes into the hole-transporting layer. Suitable materials for use in the hole-injecting layer include, but are not limited to, porphyrinic compounds such as those described in US 4,720,432, and plasmadeposited fluorocarbon polymers such as those described in US 6,208,075. Alternative hole-injecting materials reportedly useful in organic EL devices are

Alternative hole-injecting materials reportedly useful in organic EL devices are described in EP 0 891 121 A1 and EP 1 029 909 A1.

## Hole-Transporting Layer (HTL)

The hole-transporting layer of the organic EL device contains at least one hole-transporting compound such as an aromatic tertiary amine, where the latter is understood to be a compound containing at least one trivalent nitrogen atom that is bonded only to carbon atoms, at least one of which is a member of an

aromatic ring. In one form the aromatic tertiary amine can be an arylamine, such as a monoarylamine, diarylamine, triarylamine, or a polymeric arylamine group. Exemplary monomeric triarylamines are illustrated by Klupfel et al. US 3,180,730. Other suitable triarylamines substituted with one or more vinyl radicals and/or comprising at least one active hydrogen containing group are disclosed by Brantley et al US 3,567,450 and US 3,658,520.

A more preferred class of aromatic tertiary amines are those which include at least two aromatic tertiary amine moieties as described in US 4,720,432 and US 5,061,569. Such compounds include those represented by structural formula (A).

A 
$$Q_1 Q_2$$

wherein  $Q_1$  and  $Q_2$  are independently selected aromatic tertiary amine moieties and G is a linking group such as an arylene, cycloalkylene, or alkylene group of a carbon to carbon bond. In one embodiment, at least one of  $Q_1$  or  $Q_2$  contains a polycyclic fused ring group, e.g., a naphthalene. When G is an aryl group, it is conveniently a phenylene, biphenylene, or naphthalene group.

A useful class of triarylamine groups satisfying structural formula

(A) and containing two triarylamine groups is represented by structural formula

(B):

20

25

15

5

10

where

 $R_1$  and  $R_2$  each independently represents a hydrogen atom, an aryl group, or an alkyl group or  $R_1$  and  $R_2$  together represent the atoms completing a cycloalkyl group; and

R<sub>3</sub> and R<sub>4</sub> each independently represents an aryl group, which is in turn substituted with a diaryl substituted amino group, as indicated by structural formula (C):

5

wherein  $R_5$  and  $R_6$  are independently selected aryl groups. In one embodiment, at least one of  $R_5$  or  $R_6$  contains a polycyclic fused ring group, e.g., a naphthalene.

Another class of aromatic tertiary amine groups are the tetraaryldiamines. Desirable tetraaryldiamines groups include two diarylamino groups, such as indicated by formula (C), linked through an arylene group. Useful tetraaryldiamines include those represented by formula (D).

$$D = \frac{R_7}{Ar} N - \left(-Are - \frac{1}{n}N\right)^{R_8}$$

wherein

each Are is an independently selected arylene group, such as a phenylene or anthracene group,

n is an integer of from 1 to 4, and

Ar, R<sub>7</sub>, R<sub>8</sub>, and R<sub>9</sub> are independently selected aryl groups. In a typical embodiment, at least one of Ar, R<sub>7</sub>, R<sub>8</sub>, and R<sub>9</sub> is a polycyclic fused ring group, e.g., a naphthalene

20

15

The various alkyl, alkylene, aryl, and arylene groups of the foregoing structural formulae (A), (B), (C), (D), can each in turn be substituted. Typical substituents include alkyl groups, alkoxy groups, aryl groups, aryloxy groups, and halogen such as fluoride, chloride, and bromide. The various alkyl and alkylene groups typically contain from about 1 to 6 carbon atoms. The cycloalkyl moieties can contain from 3 to about 10 carbon atoms, but typically contain five, six, or seven ring carbon atoms—e.g., cyclopentyl, cyclohexyl, and

25

20

25

30

cycloheptyl ring structures. The aryl and arylene groups are usually phenyl and phenylene moieties.

The hole-transporting layer can be formed of a single or a mixture of aromatic tertiary amine compounds. Specifically, one may employ a triarylamine, such as a triarylamine satisfying the formula (B), in combination 5 with a tetraaryldiamine, such as indicated by formula (D). When a triarylamine is employed in combination with a tetraaryldiamine, the latter is positioned as a layer interposed between the triarylamine and the electron injecting and transporting layer. Illustrative of useful aromatic tertiary amines are the

10 following:

```
1,1-Bis(4-di-p-tolylaminophenyl)cyclohexane
1,1-Bis(4-di-p-tolylaminophenyl)-4-phenylcyclohexane
4,4'-Bis(diphenylamino)quadriphenyl
Bis(4-dimethylamino-2-methylphenyl)-phenylmethane
N,N,N-Tri(p-tolyl)amine
4-(di-p-tolylamino)-4'-[4(di-p-tolylamino)-styryl]stilbene
N,N,N',N'-Tetra-p-tolyl-4-4'-diaminobiphenyl
N,N,N',N'-Tetraphenyl-4,4'-diaminobiphenyl
N,N,N',N'-tetra-1-naphthyl-4,4'-diaminobiphenyl
N,N,N',N'-tetra-2-naphthyl-4,4'-diaminobiphenyl
N-Phenylcarbazole
4,4'-Bis[N-(1-naphthyl)-N-phenylamino]biphenyl
4,4'-Bis[N-(1-naphthyl)-N-(2-naphthyl)amino]biphenyl
4,4"-Bis[N-(1-naphthyl)-N-phenylamino]p-terphenyl
```

4,4'-Bis[N-(2-naphthyl)-N-phenylamino]biphenyl 4,4'-Bis[N-(3-acenaphthenyl)-N-phenylamino]biphenyl 1,5-Bis[N-(1-naphthyl)-N-phenylamino]naphthalene 4,4'-Bis[N-(9-anthryl)-N-phenylamino]biphenyl 4,4"-Bis[N-(1-anthryl)-N-phenylamino]-p-terphenyl 4,4'-Bis[N-(2-phenanthryl)-N-phenylamino]biphenyl

4,4'-Bis[N-(8-fluoranthenyl)-N-phenylamino]biphenyl 4,4'-Bis[N-(2-pyrenyl)-N-phenylamino]biphenyl

15

25

30

- 4,4'-Bis[N-(2-naphthacenyl)-N-phenylamino]biphenyl
- 4,4'-Bis[N-(2-perylenyl)-N-phenylamino]biphenyl
- 4,4'-Bis[N-(1-coronenyl)-N-phenylamino]biphenyl
- 2,6-Bis(di-p-tolylamino)naphthalene
- 2,6-Bis[di-(1-naphthyl)amino]naphthalene
  - 2,6-Bis[N-(1-naphthyl)-N-(2-naphthyl)amino]naphthalene
  - N,N,N',N'-Tetra(2-naphthyl)-4,4"-diamino-p-terphenyl
  - 4,4'-Bis {N-phenyl-N-[4-(1-naphthyl)-phenyl]amino} biphenyl
  - 4,4'-Bis[N-phenyl-N-(2-pyrenyl)amino]biphenyl
- 10 2,6-Bis[N,N-di(2-naphthyl)amine]fluorene
  - 1,5-Bis[N-(1-naphthyl)-N-phenylamino]naphthalene

Another class of useful hole-transporting materials includes polycyclic aromatic compounds as described in EP 1 009 041. In addition, polymeric hole-transporting materials can be used such as poly(N-vinylcarbazole) (PVK), polythiophenes, polypyrrole, polyaniline, and copolymers such as poly(3,4-ethylenedioxythiophene) / poly(4-styrenesulfonate) also called PEDOT/PSS.

## 20 Light-Emitting Layer (LEL)

As more fully described in US 4,769,292 and 5,935,721, the light-emitting layer (LEL) of the organic EL element comprises a luminescent or fluorescent material where electroluminescence is produced as a result of electron-hole pair recombination in this region. The light-emitting layer can be comprised of a single material, but more commonly consists of a host material doped with a guest compound or compounds where light emission comes primarily from the dopant and can be of any color. The host materials in the light-emitting layer can be an electron-transporting material, as defined below, a hole-transporting material, as defined above, or another material or combination of materials that support hole-electron recombination. The dopant is usually chosen from highly fluorescent dyes, but phosphorescent compounds, e.g., transition metal complexes as described in WO 98/55561, WO 00/18851, WO 00/57676, and WO 00/70655

15

20

25

are also useful. Dopants are typically coated as 0.01 to 10 % by weight into the host material.

An important relationship for choosing a dye as a dopant is a comparison of the bandgap potential which is defined as the energy difference between the highest occupied molecular orbital and the lowest unoccupied molecular orbital of the molecule. For efficient energy transfer from the host to the dopant molecule, a necessary condition is that the band gap of the dopant is smaller than that of the host material.

Host and emitting molecules known to be of use include, but are not limited to, those disclosed in US 4,768,292, US 5,141,671, US 5,150,006, US 5,151,629, US 5,405,709, US 5,484,922, US 5,593,788, US 5,645,948, US 5,683,823, US 5,755,999, US 5,928,802, US 5,935,720, US 5,935,721, and US 6,020,078.

Metal complexes of 8-hydroxyquinoline and similar derivatives (Formula E) constitute one class of useful host compounds capable of supporting electroluminescence, and are particularly suitable for light emission of wavelengths longer than 500 nm, e.g., green, yellow, orange, and red.

wherein

M represents a metal;

n is an integer of from 1 to 4; and

Z independently in each occurrence represents the atoms completing a nucleus having at least two fused aromatic rings.

From the foregoing it is apparent that the metal can be monovalent, divalent, trivalent, or tetravalent metal. The metal can, for example, be an alkali metal, such as lithium, sodium, or potassium; an alkaline earth metal, such as magnesium or calcium; an earth metal, such aluminum or gallium, or a transition

25

metal such as zinc or zirconium. Generally any monovalent, divalent, trivalent, or tetravalent metal known to be a useful chelating metal can be employed.

Z completes a heterocyclic nucleus containing at least two fused aromatic rings, at least one of which is an azole or azine ring. Additional rings, including both aliphatic and aromatic rings, can be fused with the two required rings, if required. To avoid adding molecular bulk without improving on function the number of ring atoms is usually maintained at 18 or less.

Illustrative of useful chelated oxinoid compounds are the following:

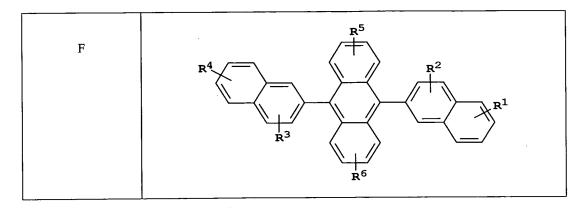
10	CO-1: Aluminum trisoxine [alias, tris(8-quinolinolato)aluminum(III)]
	CO-2: Magnesium bisoxine [alias, bis(8-quinolinolato)magnesium(II)]
	CO-3: Bis[benzo{f}-8-quinolinolato]zinc (II)
	CO-4: Bis(2-methyl-8-quinolinolato)aluminum(III)-μ-oxo-bis(2-methyl-8-
	quinolinolato) aluminum(III)
15	CO-5: Indium trisoxine [alias, tris(8-quinolinolato)indium]
	CO-6: Aluminum tris(5-methyloxine) [alias, tris(5-methyl-8-quinolinolato)
	aluminum( $\Pi$ )]
	CO-7: Lithium oxine [alias, (8-quinolinolato)lithium(I)]
	CO-8: Gallium oxine [alias, tris(8-quinolinolato)gallium(III)]
20	CO-9: Zirconium oxine [alias, tetra(8-quinolinolato)zirconium(IV)]

Derivatives of 9,10-di-(2-naphthyl)anthracene (Formula F) constitute one class of useful hosts capable of supporting electroluminescence, and are particularly suitable for light emission of wavelengths longer than 400 nm, e.g., blue, green, yellow, orange or red.

10

15

20



wherein: R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, and R<sup>6</sup> represent hydrogen or one or more substituents selected from the following groups:

Group 1: hydrogen, alkyl and alkoxy groups typically having from 1 to 24 carbon atoms;

Group 2: a ring group, typically having from 6 to 20 carbon atoms;

Group 3: the atoms necessary to complete a carbocyclic fused ring group such as naphthyl, anthracenyl, pyrenyl, and perylenyl groups, typically having from 6 to 30 carbon atoms;

Group 4: the atoms necessary to complete a heterocyclic fused ring group such as furyl, thienyl, pyridyl, and quinolinyl groups, typically having from 5 to 24 carbon atoms;

Group 5: an alkoxylamino, alkylamino, and arylamino group typically having from 1 to 24 carbon atoms; and

Group 6: fluorine, chlorine, bromine and cyano radicals.

Illustrative examples include 9,10-di-(2-naphthyl)anthracene and 2-*t*-butyl-9,10-di-(2-naphthyl)anthracene. Other anthracene derivatives can be useful as a host in the LEL, including derivatives of 9,10-bis[4-(2,2-diphenyl)phenyl]anthracene.

Benzazole derivatives (Formula G) constitute another class of useful hosts capable of supporting electroluminescence, and are particularly suitable for light emission of wavelengths longer than 400 nm, e.g., blue, green, yellow, orange or red.

10

15

G

where:

n is an integer of 3 to 8;

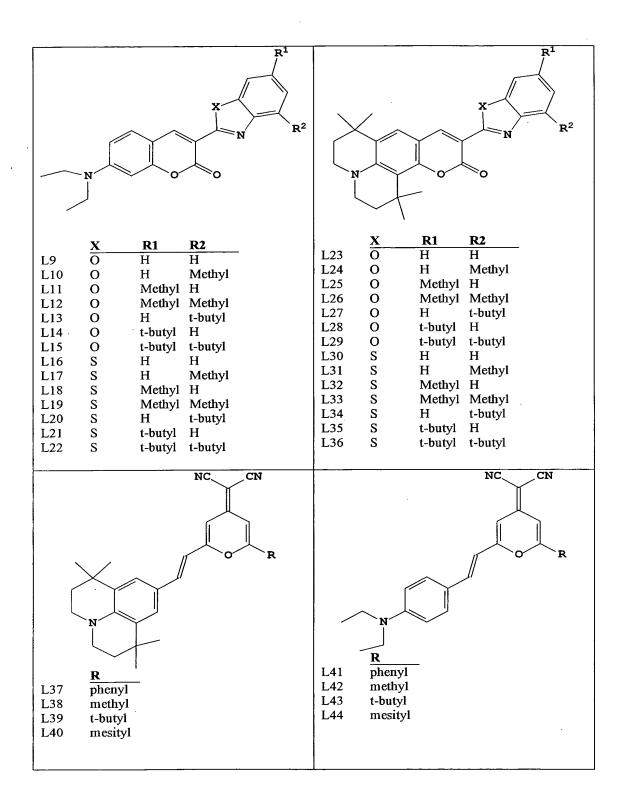
Z is -O, -NR or -S where R is H or a substituent; and

R' represents one or more optional substituents where R and each R' are H or alkyl groups such as propyl, t-butyl, and heptyl groups typically having from 1 to 24 carbon atoms; carbocyclic or heterocyclic ring groups such as phenyl and naphthyl, furyl, thienyl, pyridyl, and quinolinyl groups and atoms necessary to complete a fused aromatic ring group typically having from 5 to 20 carbon atoms; and halo such as chloro, and fluoro;

L is a linkage unit usually comprising an alkyl or ary group which conjugately or unconjugately connects the multiple benzazoles together.

An example of a useful benzazole is 2, 2', 2"-(1,3,5-phenylene)tris[1-phenyl-1H-benzimidazole].

Desirable fluorescent dopants include groups derived from fused ring, heterocyclic and other compounds such as anthracene, tetracene, xanthene, perylene, rubrene, coumarin, rhodamine, quinacridone, dicyanomethylenepyran, thiopyran, polymethine, pyrilium thiapyrilium, and carbostyryl compounds. Illustrative examples of useful dopants include, but are not limited to, the following:



## Electron-Transporting Layer (ETL)

Preferred thin film-forming materials for use in forming the electron-transporting layer of the organic EL devices of this invention are metal chelated oxinoid compounds, including chelates of oxine itself (also commonly referred to as 8-quinolinol or 8-hydroxyquinoline). Such compounds help to inject and transport electrons and exhibit both high levels of performance and are readily fabricated in the form of thin films. Exemplary of contemplated oxinoid compounds are those satisfying structural formula (E), previously described.

5

Other electron-transporting materials include various butadiene derivatives as disclosed in US 4,356,429 and various heterocyclic optical brighteners as described in US 4,539,507. Benzazoles satisfying structural formula (G) are also useful electron transporting materials.

In some instances, layers LEL and ETL can optionally be collapsed into a single layer that serves the function of supporting both light emission and electron transportation.

#### Cathode

5

10

15

20

25

30

When light emission is through the anode, the cathode layer used in this invention can be comprised of nearly any conductive material. Desirable materials have good film-forming properties to ensure good contact with the underlying organic layer, promote electron injection at low voltage, and have good stability. Useful cathode materials often contain a low work function metal (< 4.0 eV) or metal alloy. One preferred cathode material is comprised of a Mg:Ag alloy wherein the percentage of silver is in the range of 1 to 20 %, as described in US 4,885,221. Another suitable class of cathode materials includes bilayers comprised of a thin layer of a low work function metal or metal salt capped with a thicker layer of conductive metal. One such cathode is comprised of a thin layer of LiF followed by a thicker layer of Al as described in US 5,677,572. Other useful cathode materials include, but are not limited to, those disclosed in US 5,059,861, US 5,059,862, and US 6,140,763.

When light emission is viewed through the cathode, the cathode must be transparent or nearly transparent. For such applications, metals must be thin or one must use transparent conductive oxides, or a combination of these materials. Optically transparent cathodes have been described in more detail in US 5,776,623. Cathode materials can be deposited by evaporation, sputtering, or chemical vapor deposition. When needed, patterning can be achieved through many well known methods including, but not limited to, through-mask deposition, integral shadow masking as described in US 5,276,380 and EP 0 732 868, laser ablation, and selective chemical vapor deposition.

## Deposition of organic layers

The organic materials mentioned above are suitably deposited through sublimation, but can be deposited from a solvent with an optional binder to improve film formation. If the material is a polymer, solvent deposition is usually preferred. The material to be deposited by sublimation can be vaporized from a sublimator "boat" often comprised of a tantalum material, e.g., as described in US 6,237,529, or can be first coated onto a donor sheet and then sublimed in closer proximity to the substrate. Layers with a mixture of materials can utilize separate sublimator boats or the materials can be pre-mixed and coated from a single boat or donor sheet. Patterned deposition can be achieved using shadow masks, integral shadow masks (US 5,294,870), spatially-defined thermal dye transfer from a donor sheet (US 5,851,709 and US 6,066,357) and inkjet method (US 6,066,357).

## 15 Encapsulation

5

10

20

25

30

Most OLED devices are sensitive to moisture and/or oxygen so they are commonly sealed in an inert atmosphere such as nitrogen or argon, along with a desiccant such as alumina, bauxite, calcium sulfate, clays, silica gel, zeolites, alkaline metal oxides, alkaline earth metal oxides, sulfates, or metal halides and perchlorates. Methods for encapsulation and desiccation include, but are not limited to, those described in US 6,226,890.

Unless otherwise specifically stated, use of the term "group", "substituted" or "substituent" means any group or radical other than hydrogen. Additionally, when reference is made in this application to a compound or group that contains a substitutable hydrogen, it is also intended to encompass not only the unsubstituted form, but also its form further substituted with any substituent group or groups as herein mentioned, so long as the substituent does not destroy properties necessary for the intended utility. Suitably, a substituent group may be halogen or may be bonded to the remainder of the molecule by an atom of carbon, silicon, oxygen, nitrogen, phosphorous, or sulfur. The substituent may be, for example, halogen, such as chlorine, bromine or fluorine; nitro; hydroxyl; cyano;

carboxyl; or groups which may be further substituted, such as alkyl, including straight or branched chain or cyclic alkyl, such as methyl, trifluoromethyl, ethyl, *t*-butyl, 3-(2,4-di-*t*-pentylphenoxy) propyl, cyclohexyl, and tetradecyl; alkenyl, such as ethylene, 2-butene; alkoxy, such as methoxy, ethoxy, propoxy, butoxy, 2-methoxyethoxy, *sec*-butoxy, hexyloxy, 2-ethylhexyloxy, tetradecyloxy, 2-(2,4-di-

*t*-pentylphenoxy)ethoxy, and 2-dodecyloxyethoxy; aryl such as phenyl, 4-*t*-butylphenyl, 2,4,6-trimethylphenyl, naphthyl; aryloxy, such as phenoxy, 2-methylphenoxy, alpha- or beta-naphthyloxy, and 4-tolyloxy; carbonamido, such as acetamido, benzamido, butyramido, tetradecanamido, alpha-(2,4-di-*t*-pentyl-

phenoxy)acetamido, alpha-(2,4-di-*t*-pentylphenoxy)butyramido, alpha-(3-pentadecylphenoxy)-hexanamido, alpha-(4-hydroxy-3-*t*-butylphenoxy)-tetradecanamido, 2-oxo-pyrrolidin-1-yl, 2-oxo-5-tetradecylpyrrolin-1-yl, N-methyltetradecanamido, N-succinimido, N-phthalimido, 2,5-dioxo-1-oxazolidinyl, 3-dodecyl-2,5-dioxo-1-imidazolyl, and N-acetyl-N-dodecylamino,

ethoxycarbonylamino, phenoxycarbonylamino, benzyloxycarbonylamino, hexadecyloxycarbonylamino, 2,4-di-*t*-butylphenoxycarbonylamino, phenylcarbonylamino, 2,5-(di-*t*-pentylphenyl)carbonylamino, *p*-dodecylphenylcarbonylamino, *p*-tolylcarbonylamino, N-methylureido, N,N-dimethylureido, N-methyl-N-dodecylureido, N-hexadecylureido, N,N-

dioctadecylureido, N,N-dioctyl-N'-ethylureido, N-phenylureido, N,N-diphenylureido, N,N-p-tolylureido, N-(m-hexadecylphenyl)ureido, N,N-(2,5-di-t-pentylphenyl)-N'-ethylureido, and t-butylcarbonamido; sulfonamido, such as methylsulfonamido, benzenesulfonamido, p-tolylsulfonamido, p-dodecylbenzenesulfonamido, N-methyltetradecylsulfonamido, N,N-dipropyl-

sulfamoylamino, and hexadecylsulfonamido; sulfamoyl, such as N-methylsulfamoyl, N-ethylsulfamoyl, N,N-dipropylsulfamoyl, N-hexadecylsulfamoyl, N,N-dimethylsulfamoyl; N-[3-(dodecyloxy)propyl]sulfamoyl, N-[4-(2,4-di-t-pentylphenoxy)butyl]sulfamoyl, N-methyl-N-tetradecylsulfamoyl, and N-dodecylsulfamoyl; carbamoyl, such as N-methylcarbamoyl, N,N-dibutylcarbamoyl, N-octadecylcarbamoyl, N-[4-(2,4-di-t-pentylphenoxy)butyl]carbamoyl, N-methyl-N-tetradecylcarbamoyl, and N,N-

dioctylcarbamoyl; acyl, such as acetyl, (2,4-di-t-amylphenoxy)acetyl,

20

25

30

phenoxycarbonyl, p-dodecyloxyphenoxycarbonyl methoxycarbonyl, butoxycarbonyl, tetradecyloxycarbonyl, ethoxycarbonyl, benzyloxycarbonyl, 3-pentadecyloxycarbonyl, and dodecyloxycarbonyl, sulfonyl, such as methoxysulfonyl, octyloxysulfonyl, tetradecyloxysulfonyl, 2-

ethylhexyloxysulfonyl, phenoxysulfonyl, 2,4-di-*t*-pentylphenoxysulfonyl, methylsulfonyl, octylsulfonyl, 2-ethylhexylsulfonyl, dodecylsulfonyl, hexadecylsulfonyl, phenylsulfonyl, 4-nonylphenylsulfonyl, and *p*-tolylsulfonyl; sulfonyloxy, such as dodecylsulfonyloxy, and hexadecylsulfonyloxy; sulfinyl, such as methylsulfinyl, octylsulfinyl, 2-ethylhexylsulfinyl, dodecylsulfinyl,

hexadecylsulfinyl, phenylsulfinyl, 4-nonylphenylsulfinyl, and p-tolylsulfinyl; thio, such as ethylthio, octylthio, benzylthio, tetradecylthio, 2-(2,4-di-t-pentylphenoxy)ethylthio, phenylthio, 2-butoxy-5-t-octylphenylthio, and p-tolylthio; acyloxy, such as acetyloxy, benzoyloxy, octadecanoyloxy, p-dodecylamidobenzoyloxy, N-phenylcarbamoyloxy, N-ethylcarbamoyloxy, and
 cyclohexylcarbonyloxy; amine, such as phenylanilino, 2-chloroanilino,

diethylamine, dodecylamine; imino, such as 1 (N-phenylimido)ethyl, N-succinimido or 3-benzylhydantoinyl; phosphate, such as dimethylphosphate and ethylbutylphosphate; phosphite, such as diethyl and dihexylphosphite; a heterocyclic group, a heterocyclic oxy group or a heterocyclic thio group, each of which may be substituted and which contain a 3 to 7 membered heterocyclic ring composed of carbon atoms and at least one hetero atom selected from the group consisting of oxygen, nitrogen and sulfur, such as 2-furyl, 2-thienyl, 2-benzimidazolyloxy or 2-benzothiazolyl; quaternary ammonium, such as triethylammonium; and silyloxy, such as trimethylsilyloxy.

If desired, the substituents may themselves be further substituted one or more times with the described substituent groups. The particular substituents used may be selected by those skilled in the art to attain the desired desirable properties for a specific application and can include, for example, hydrophobic groups, solubilizing groups, blocking groups, and releasing or releasable groups. When a molecule may have two or more substituents, the substituents may be joined together to form a ring such as a fused ring unless otherwise provided. Generally, the above groups and substituents thereof may

include those having up to 48 carbon atoms, typically 1 to 36 carbon atoms and usually less than 24 carbon atoms, but greater numbers are possible depending on the particular substituents selected.

The entire contents of the patents and other publications referred to in this specification are incorporated herein by reference.

## PARTS LIST

10	transparent multilayer
12	substrate
14	first conductive layer
16	cover sheet
18	second conductive layer
20 .	spacer element
30	first conductive layer
32	spacer element
40	OLED materials
42	encapsulator for OLED
44	transparent cover for OLED
50	OLED substrate